



Practical Machine Learning

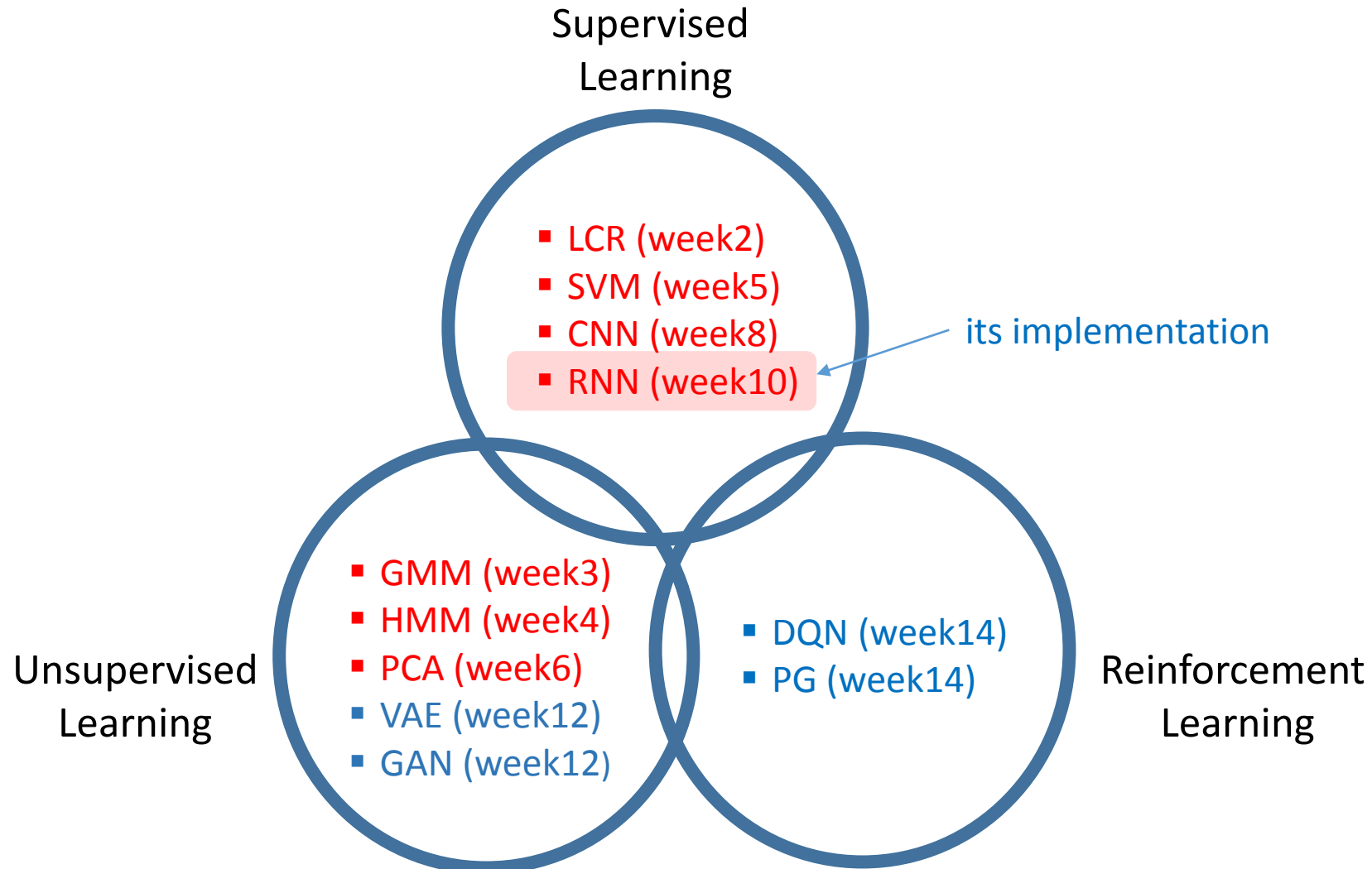
Lecture 11

TensorFlow – RNN/LSTM/GRU implementation

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Where we are



You are going to learn

- ❑ Implementation of RNN/LSTM/GRU with Tensorflow
 - Data loading
 - Model definition
 - Evaluation
- ❑ Static and Dynamic RNN
- ❑ Dropouts in RNN

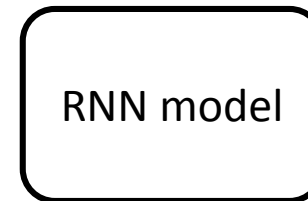
Character level language model using RNN

- ❑ Tensorflow version of character level language model
- ❑ The original one is implemented using numpy only
 - <https://gist.github.com/karpathy/d4dee566867f8291f086>

shakespeare.txt

- 1115390 characters
- 65 unique characters

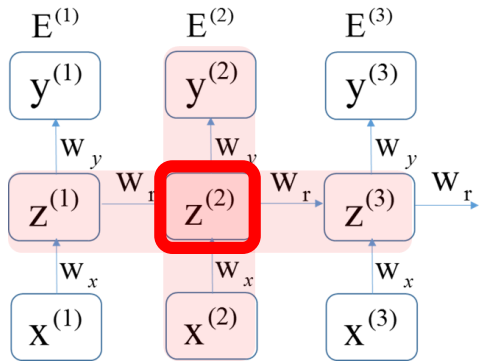
```
First Citizen:  
Before we proceed any further, hear me speak.  
  
All:  
Speak, speak.  
  
First Citizen:  
You are all resolved rather to die than to famish?  
  
All:  
Resolved. resolved.  
  
First Citizen:  
First, you know Caius Marcius is chief enemy to the people.  
  
All:  
We know't, we know't.  
  
First Citizen:  
Let us kill him, and we'll have corn at our own price.  
Is't a verdict?
```



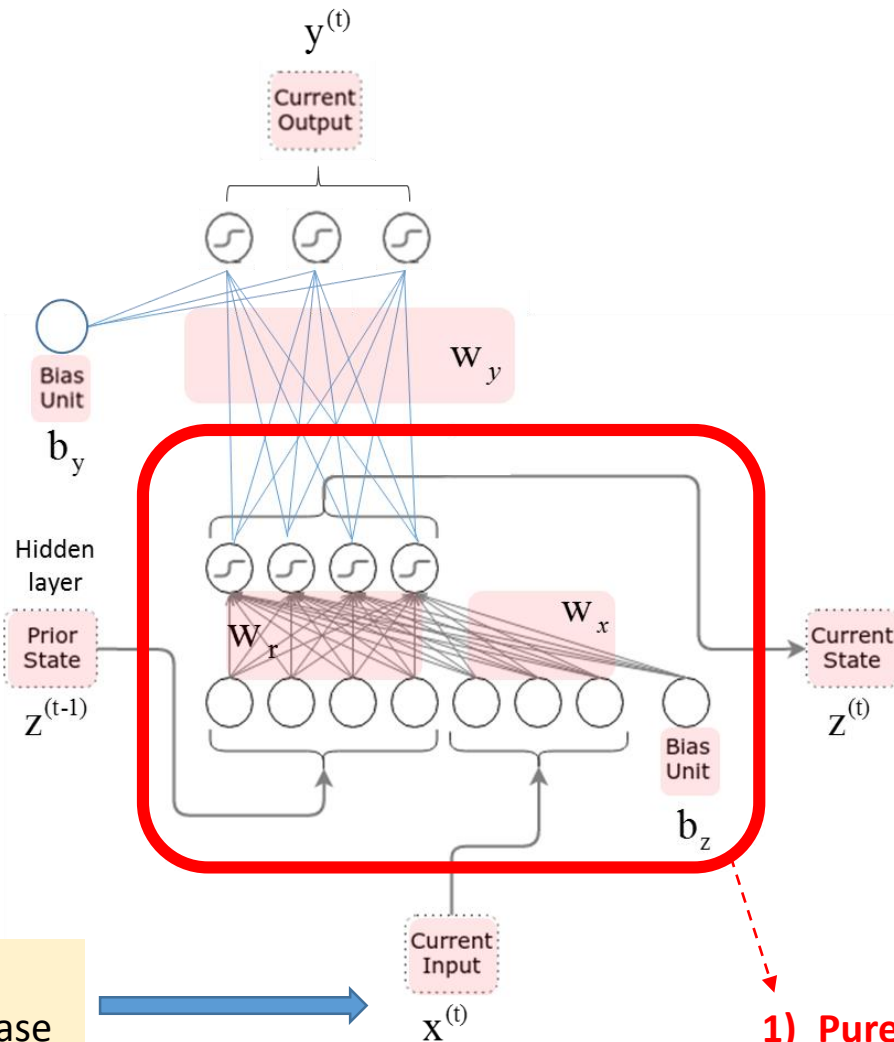
Newly generated text

```
KING HENRY VI I shall you sir;  
When princes but friend ....
```

RNN review: terminology



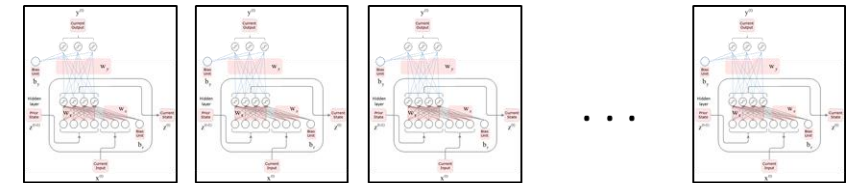
- ❑ Number of neurons in hidden layer
 - state_size
 - hidden_size



- ❑ Number of steps
 - num_steps
 - seq_length

- ❑ Input dimension and Output dimension are same in this case

[0, 0, 0, ..., 1, ..., 0]
One hot encoding



- 1) Pure vanilla RNN cell
- 2) LSTM cell
- 3) GRU cell

Data loading

1) Data loading

```
# Global config variables
batch_size = 200
state_size = 100
num_steps = 5

learning_rate = 0.0001

# Data reading
data = open('shakespeare.txt').read()
chars = list(set(data))
num_classes = len(chars)

char_to_ix = {ch: i for i, ch in enumerate(chars)}
ix_to_char = {i: ch for i, ch in enumerate(chars)}

# Convert the characters to index
# Creating data and corresponding label
inputs = [char_to_ix[ch] for ch in data[:]] # its shape: (1115390,)

print (data[0:14])
print (inputs[0:14])
print (len(chars))
```

```
First Citizen:
[42, 46, 36, 23, 20, 33, 31, 46, 20, 46, 30, 57, 63, 19]
65
```

- ❑ Creating a dictionary which maps between “character” and “corresponding index”
- ❑ Converting a list of characters to a list of their corresponding numbers

1) Data loading

```
# Global config variables
batch_size = 200
state_size = 100
num_steps = 5

learning_rate = 0.0001

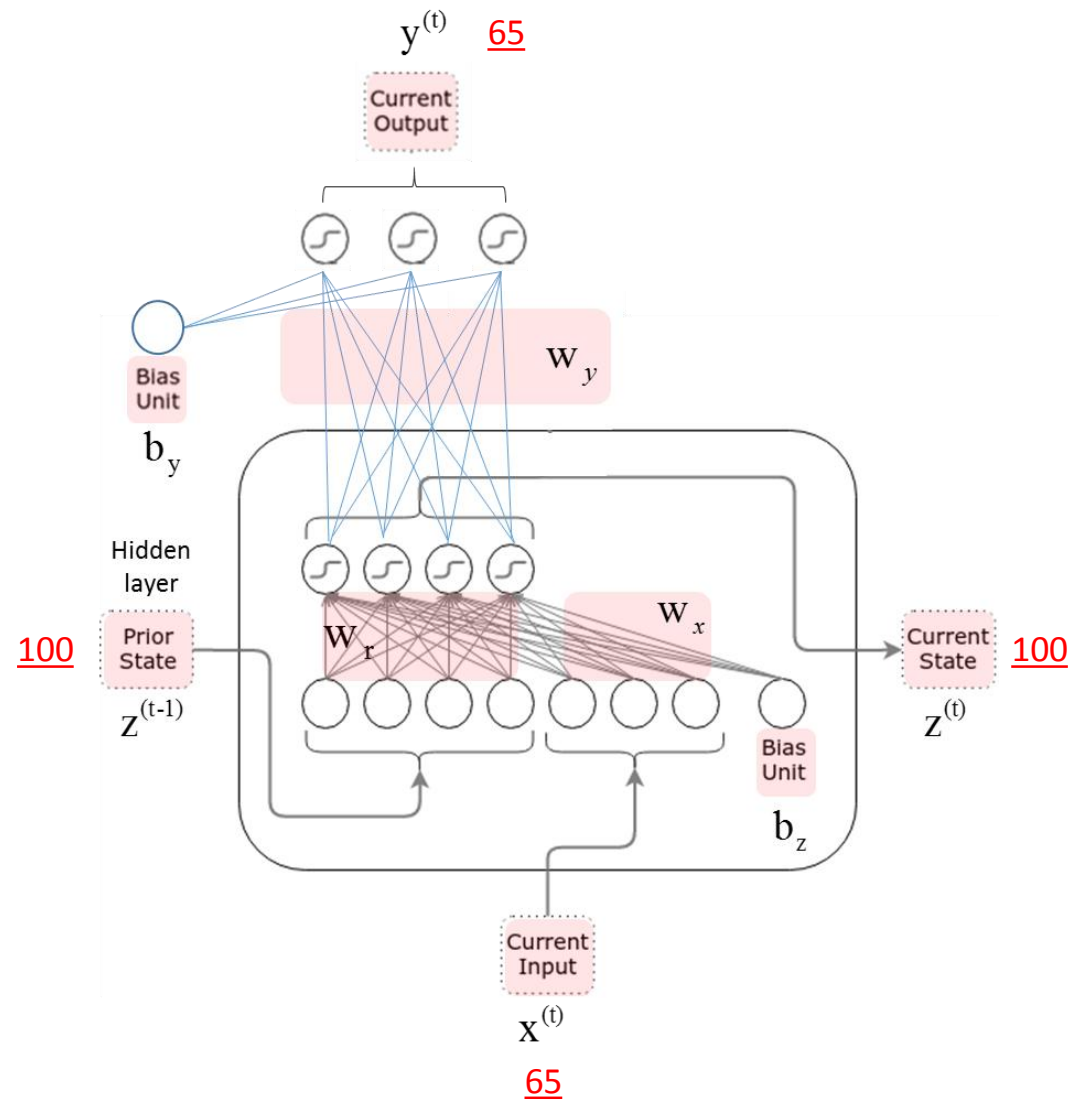
# Data reading
data = open('shakespeare.txt').read()
chars = list(set(data))
num_classes = len(chars)

char_to_ix = {ch: i for i, ch in enumerate(chars)}
ix_to_char = {i: ch for i, ch in enumerate(chars)}

# Convert the characters to index
# Creating data and corresponding label
inputs = [char_to_ix[ch] for ch in data[:]] # its shape: (1115390,)

print (data[0:14])
print (inputs[0:14])
print (len(chars))
```

```
First Citizen:
[42, 46, 36, 23, 20, 33, 31, 46, 20, 46, 30, 57, 63, 19]
65
```



1) Data loading

```
# Global config variables
batch_size = 200
state_size = 100
num_steps = 5

learning_rate = 0.0001

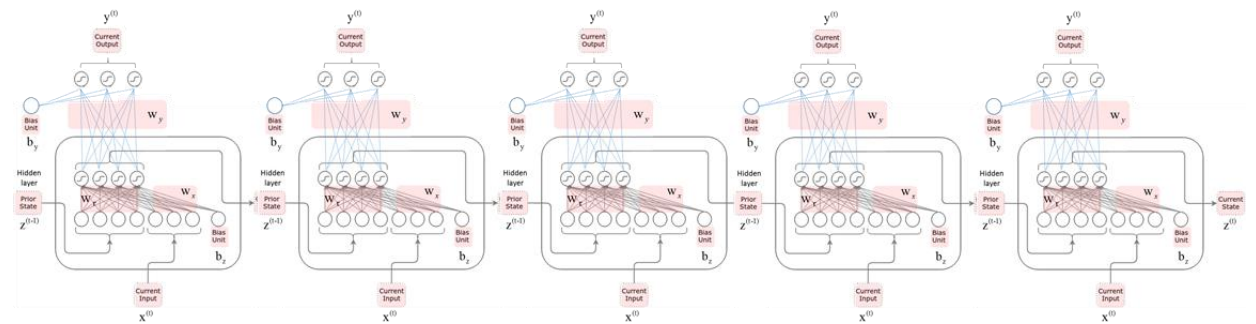
# Data reading
data = open('shakespeare.txt').read()
chars = list(set(data))
num_classes = len(chars)

char_to_ix = {ch: i for i, ch in enumerate(chars)}
ix_to_char = {i: ch for i, ch in enumerate(chars)}

# Convert the characters to index
# Creating data and corresponding label
inputs = [char_to_ix[ch] for ch in data[:]] # its shape: (1115390,)

print (data[0:14])
print (inputs[0:14])
print (len(chars))
```

First Citizen:
[42, 46, 36, 23, 20, 33, 31, 46, 20, 46, 30, 57, 63, 19]
65



1) Data loading

```
# Global config variables
batch_size = 200
state_size = 100
num_steps = 5

learning_rate = 0.0001

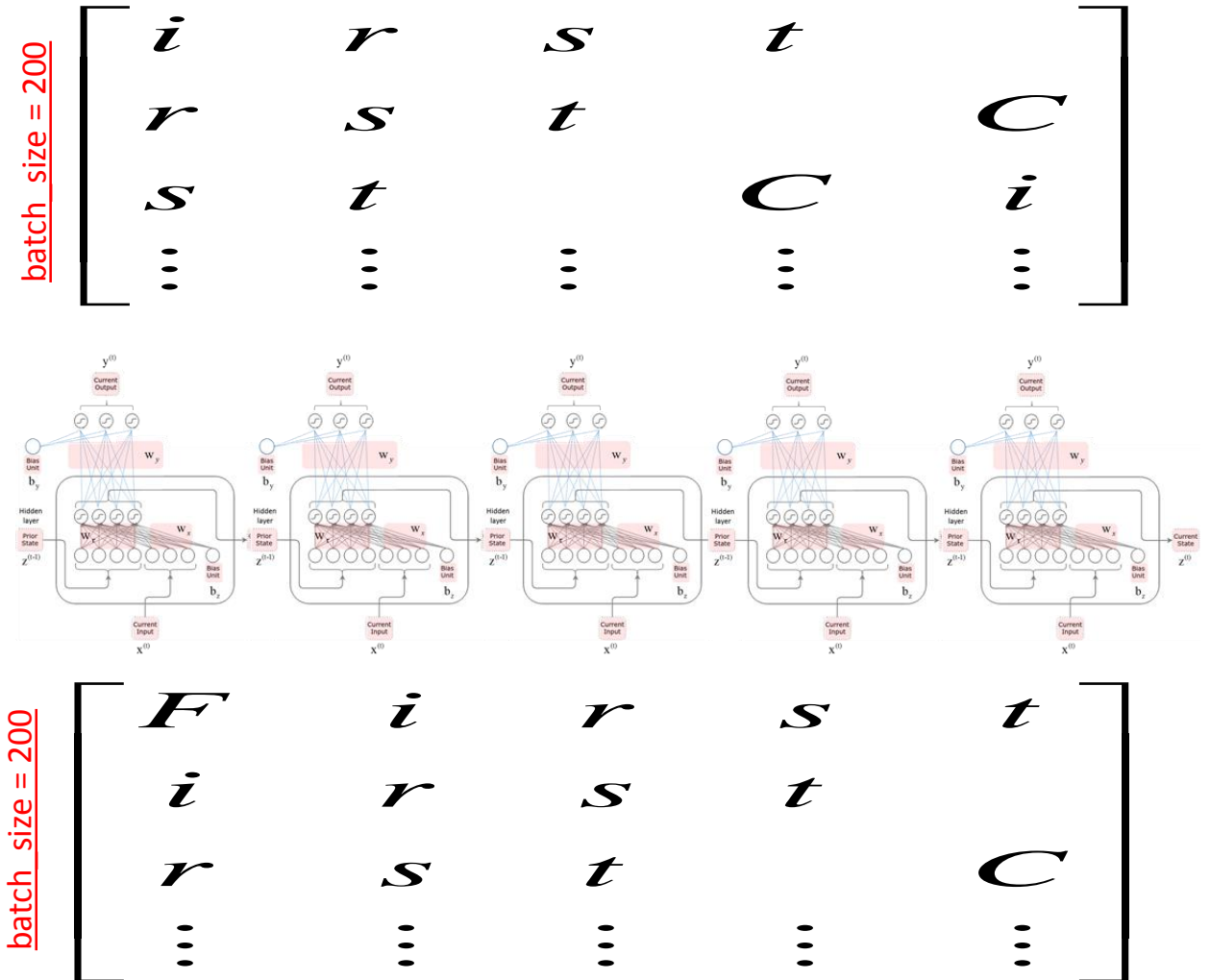
# Data reading
data = open('shakespeare.txt').read()
chars = list(set(data))
num_classes = len(chars)

char_to_ix = {ch: i for i, ch in enumerate(chars)}
ix_to_char = {i: ch for i, ch in enumerate(chars)}

# Convert the characters to index
# Creating data and corresponding label
inputs = [char_to_ix[ch] for ch in data[:]] # its shape: (1115390,)

print (data[0:14])
print (inputs[0:14])
print (len(chars))
```

```
First Citizen:
[42, 46, 36, 23, 20, 33, 31, 46, 20, 46, 30, 57, 63, 19]
65
```



num_steps = 5

Truncated backpropagation for 5 steps

RNN model

2) RNN model

```
# RNN model from Tensorflow
input_data = tf.placeholder(tf.int64, [batch_size, num_steps])
label_data = tf.placeholder(tf.int64, [batch_size, num_steps])
init_state = tf.zeros([batch_size, state_size])

# RNN input
x_one_hot = tf.one_hot(input_data, num_classes)
rnn_inputs = tf.unstack(x_one_hot, axis=1)

# Creating RNN Cell
cell = tf.contrib.rnn.BasicRNNCell(state_size)
rnn_outputs, final_state = tf.contrib.rnn.static_rnn(cell, rnn_inputs, init_state)
```

❑ Feeding data into the model using placeholder.

- input_data = [3, 45, 34, ...]

x = ~~[[1,2,4], [1,3,4]]~~ [[0,2,4][1,3,2]]
num_classes=5

```
x_one_hot = tf.one_hot(x, num_classes)
print(sess.run(x_one_hot))
```

```
[[[ 1.  0.  0.  0.  0.]
  [ 0.  0.  1.  0.  0.]
  [ 0.  0.  0.  0.  1.]]
 [[ 0.  1.  0.  0.  0.]
  [ 0.  0.  0.  1.  0.]
  [ 0.  0.  1.  0.  0.] ]]
```

tf.unstack(one_hot, axis=1)

x = ~~[[0,2,4]~~
~~[1,3,2]]~~

```
[array([[ 1.,  0.,  0.,  0.,  0.],
       [ 0.,  1.,  0.,  0.,  0.],
       [ 0.,  0.,  0.,  1.,  0.],
       [ 0.,  0.,  1.,  0.,  0.]], dtype=float32), array([[ 0.,  0.,  1.,  0.,  0.],
       [ 0.,  0.,  0.,  1.,  0.],
       [ 0.,  0.,  0.,  0.,  1.]], dtype=float32)]
```

2) RNN model: two functions for a vanilla RNN implementation

```
# RNN model from Tensorflow
input_data = tf.placeholder(tf.int64, [batch_size, num_steps])
label_data = tf.placeholder(tf.int64, [batch_size, num_steps])
init_state = tf.zeros([batch_size, state_size])

# RNN input
x_one_hot = tf.one_hot(input_data, num_classes)
rnn_inputs = tf.unstack(x_one_hot, axis=1)

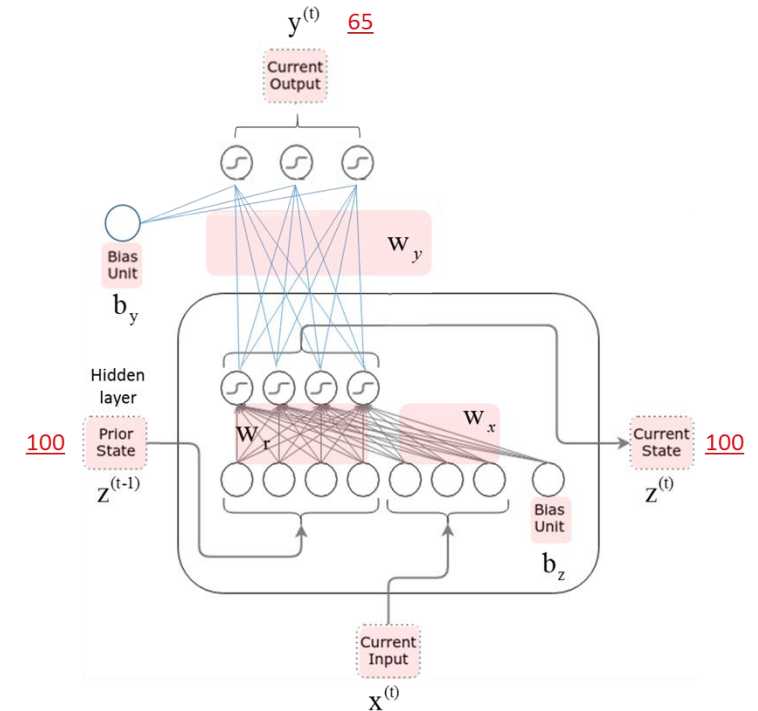
# Creating RNN Cell
cell = tf.contrib.rnn.BasicRNNCell(state_size)
rnn_outputs, final_state = tf.nn.static_rnn(cell, rnn_inputs, init_state)
```

1) `cell = tf.contrib.rnn.BasicRNNCell(state_size)`

- A vanilla RNN cell creation
- The number of neurons in a cell

2) `rnn_outputs, final_state = tf.nn.static_rnn(cell, rnn_inputs, initial_state)`

- three input parameters
 - a. cell: cell info which is a return
 - b. `rnn_inputs`: `[batch_size][num_classes] x [num_steps]`
 - c. Initial_state: `[batch_size][state_size]`
- two outputs
 - a. `rnn_outputs`: `[batch_size][state_size] x [num_steps]`
 - b. final_state: `rnn_outputs[-1]`



2) RNN model: rnn_inputs

shakespeare.txt

First Citizen:
Before we proceed any further, hear me speak.

All:
Speak, speak.

First Citizen:
You are all resolved rather to die than to famish?

```
All:
Resolved. resolved.
```

First Citizen:
First, you know Caius Marcius is chief enemy to the people.

All:
We know't, we know't.

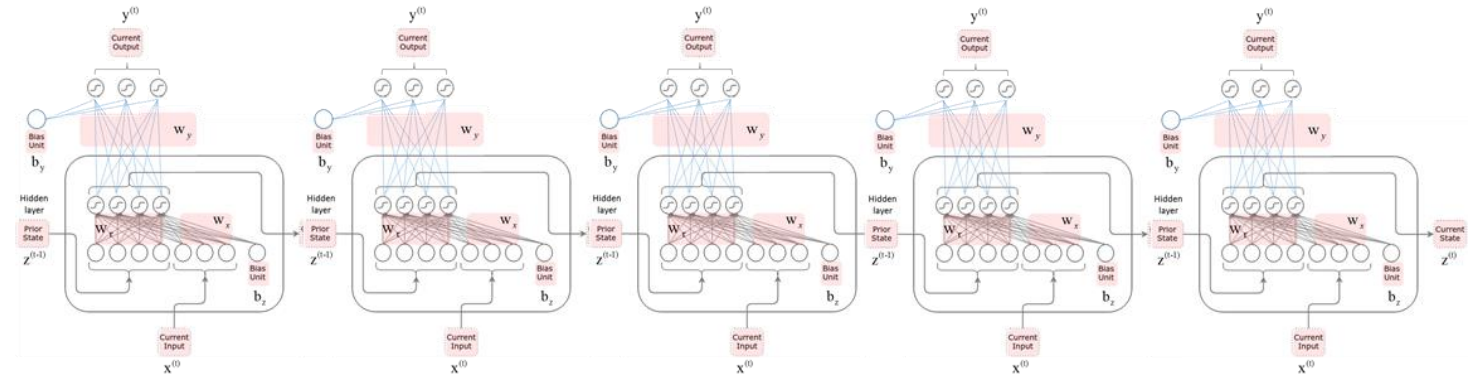
First Citizen:
Let us kill him, and we'll have corn at our own price.
Is't a verdict?

Total characters: 1115390

g m ...

Indexing each character to an integer value

3 9 34 12 ...



num_step

batch_size	3	9	34	12	29
	9	34	12	29	2
	34	12	29	2	32


```
x_one_hot = tf.one_hot([34], 65)
print(sess.run(x_one_hot))
```

[illegible]

2) RNN model: rnn_inputs

shakespeare.txt

First Citizen:
Before we proceed any further, hear me speak.

All:
Speak, speak.

First Citizen:
You are all resolved rather to die than to famish?

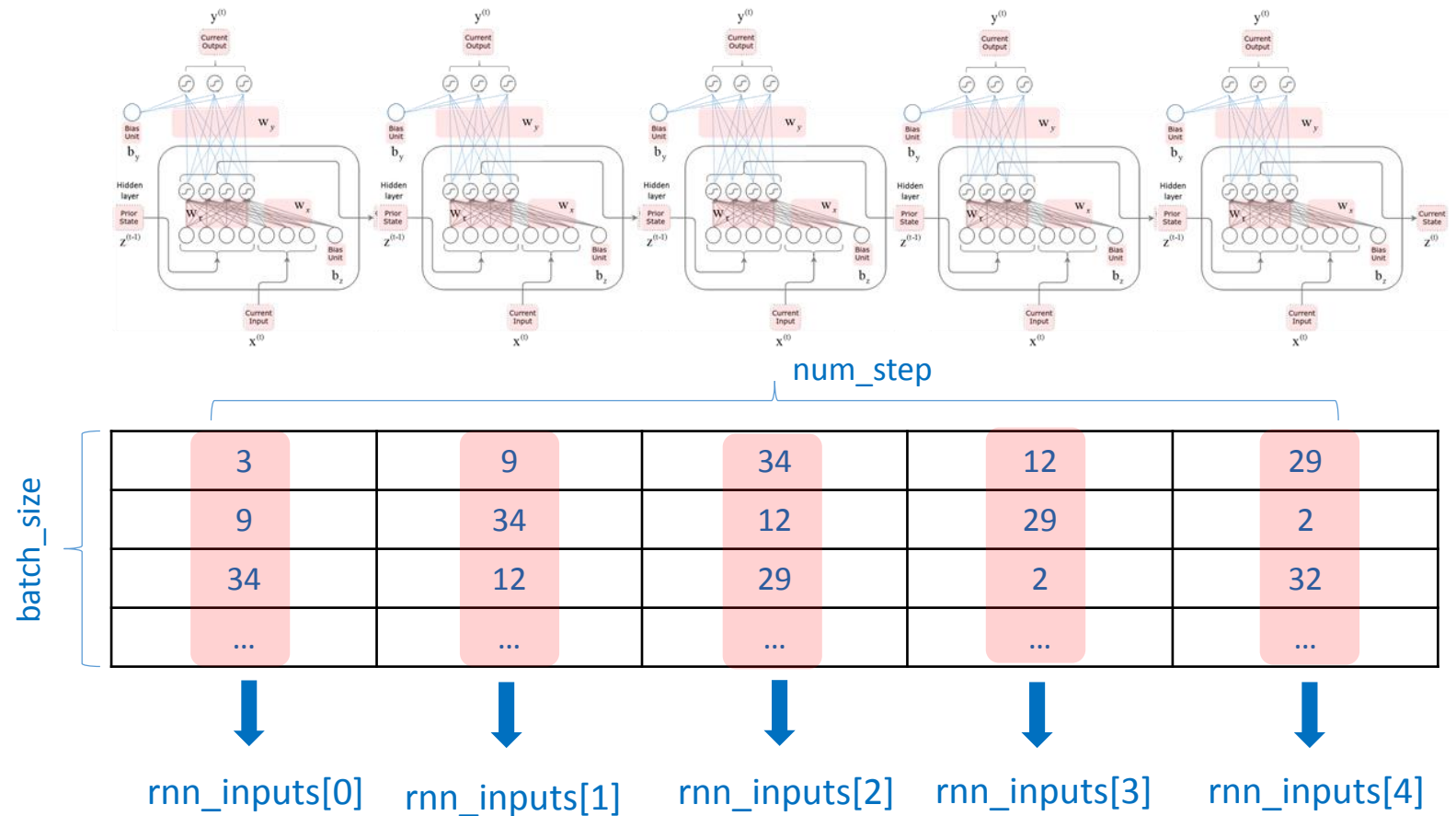
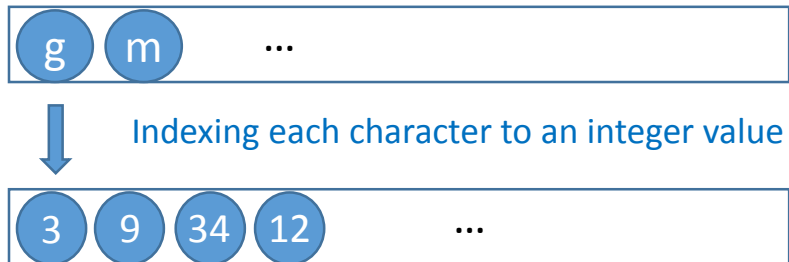
All:
Resolved. resolved.

First Citizen:
First, you know Caius Marcius is chief enemy to the people.

All:
We know't, we know't.

First Citizen:
Let us kill him, and we'll have corn at our own price.
Is't a verdict?

Total characters: 1115390



tf.unstack(one_hot, axis=1)

2) RNN model: rnn_inputs

shakespeare.txt

First Citizen:
Before we proceed any further, hear me speak.

All:
Speak, speak.

First Citizen:
You are all resolved rather to die than to famish?

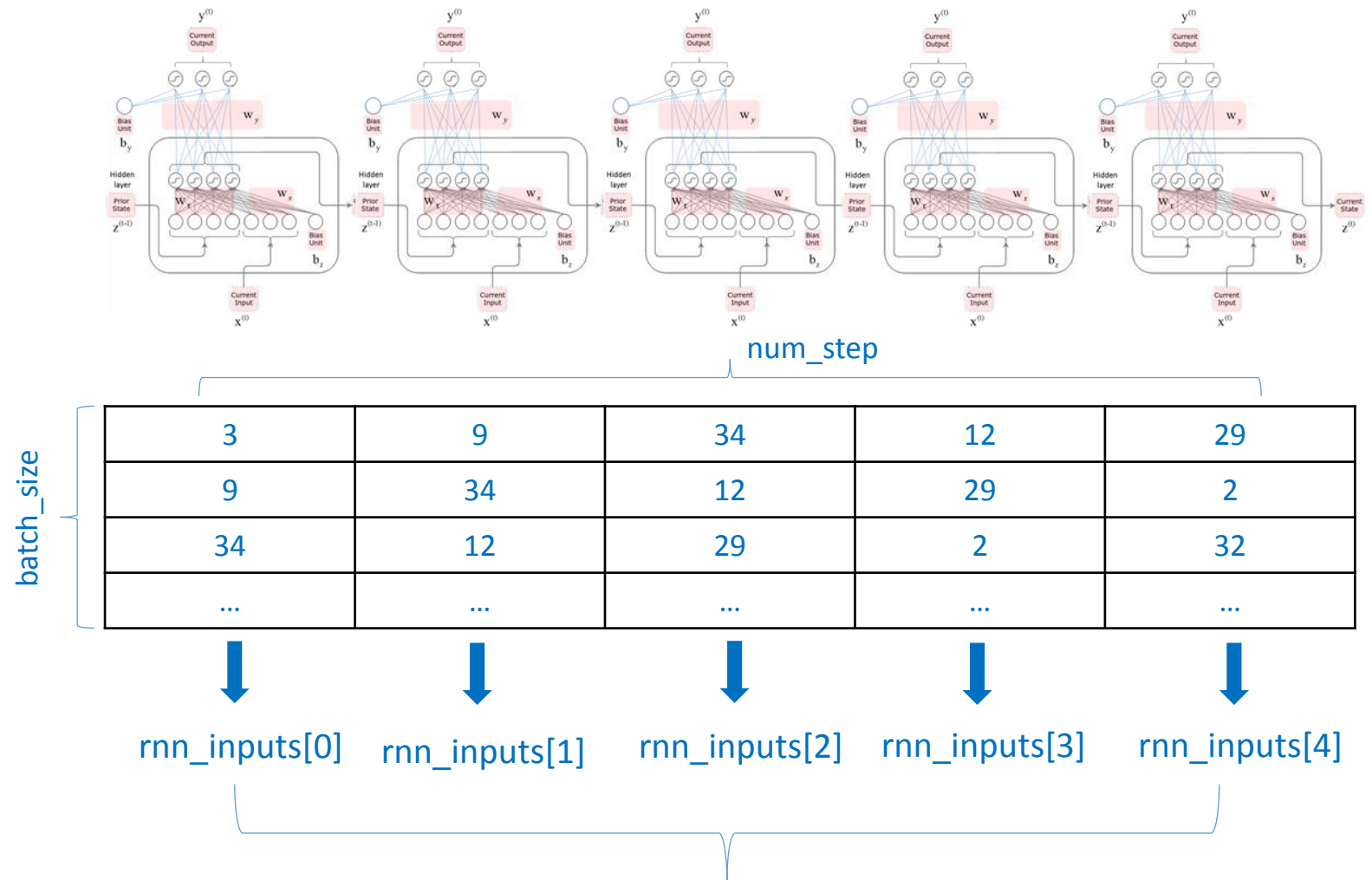
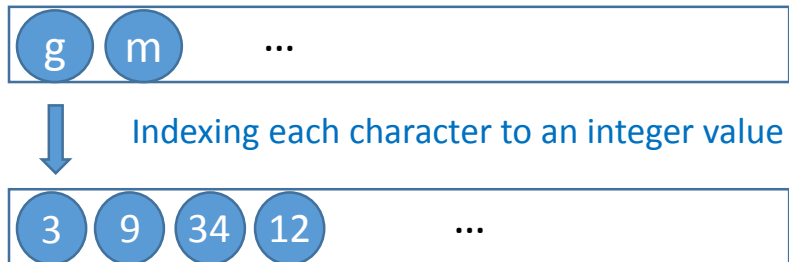
All:
Resolved. resolved.

First Citizen:
First, you know Caius Marcius is chief enemy to the people.

All:
We know't, we know't.

First Citizen:
Let us kill him, and we'll have corn at our own price.
Is't a verdict?

Total characters: 1115390



□ rnn_inputs: [batch_size][num_classes] x [num_steps]

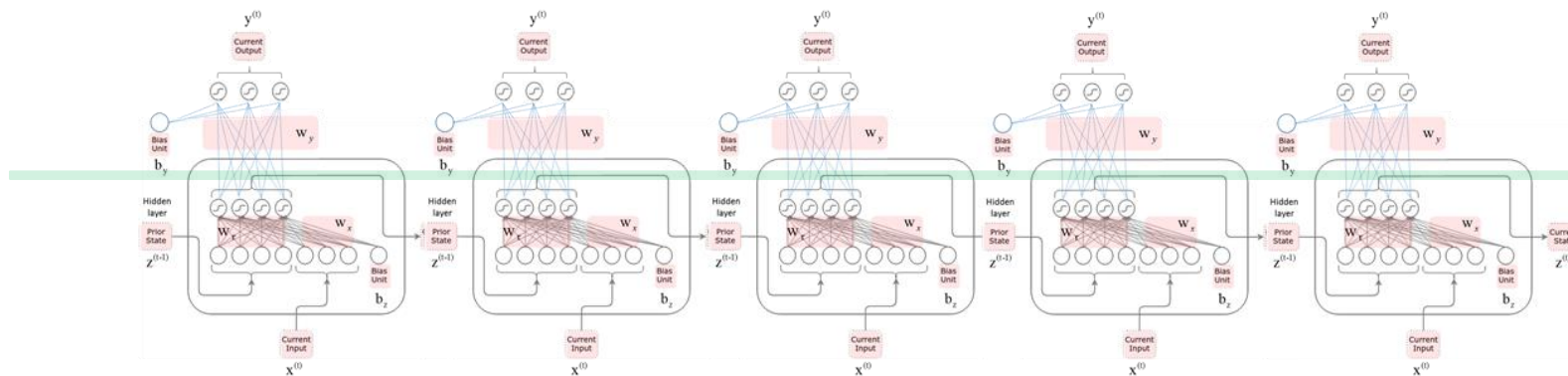
2) RNN model: rnn_outs

rnn_outputs[0] rnn_outputs[1] rnn_outputs[2] rnn_outputs[3] rnn_outputs[4]

↑	↑	↑	↑	↑
3	64	9	29	2
44	42	29	2	32
12	29	1	32	7
...

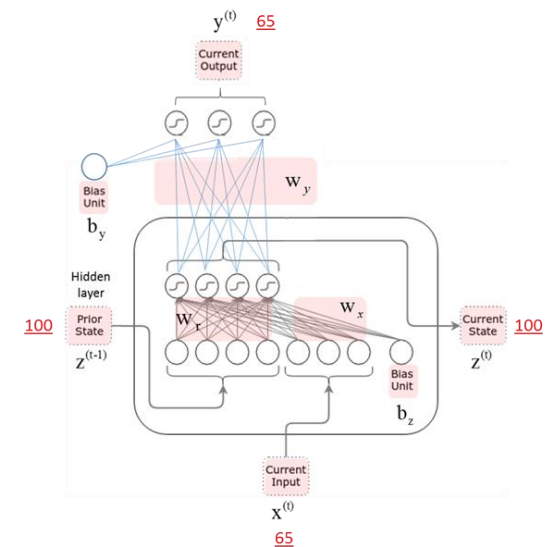
$\text{rnn_outputs}[] = [\text{batch_size}] \times [\text{state_size}]$

$\text{final_state} == \text{rnn_outputs}[4]$

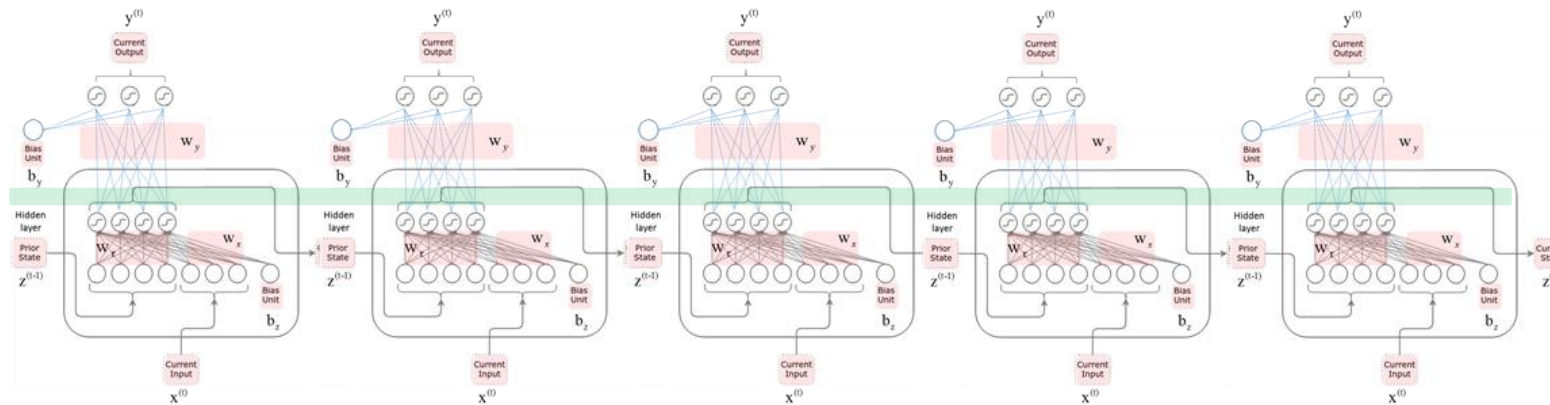


batch_size	3	9	34	12	29
	9	34	12	29	2
	34	12	29	2	32

	num_step				



2) RNN model: rnn_outs

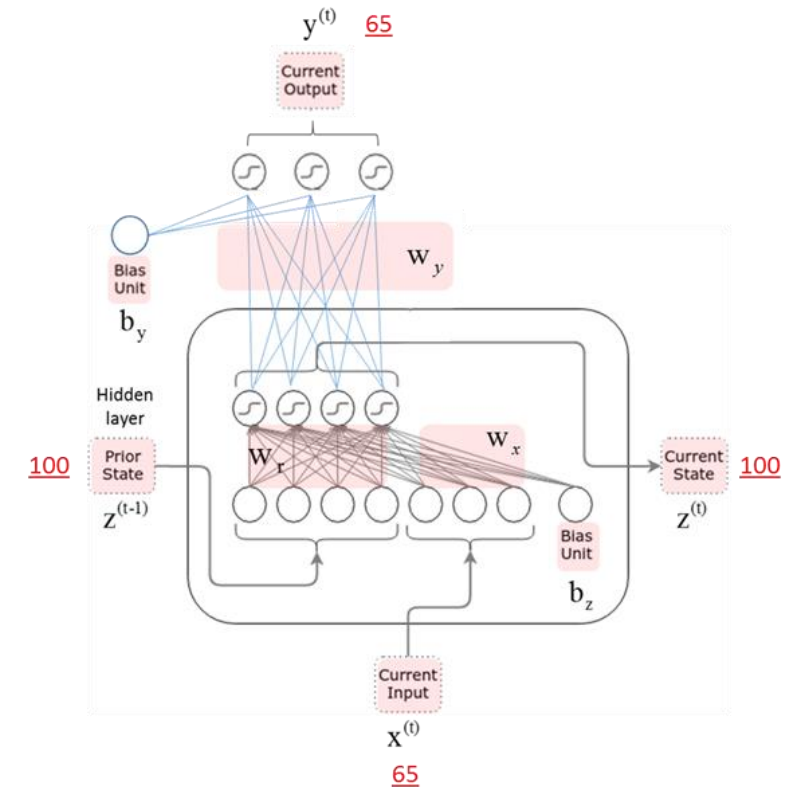


```
# Creating RNN Cell
cell = tf.contrib.rnn.BasicRNNCell(state_size)
rnn_outputs, final_state = tf.nn.static_rnn(cell, rnn_inputs, init_state)
```

```
print(rnn_inputs)
print("-----")
print(rnn_outputs)
```

```
[<tf.Tensor 'unstack:0' shape=(200, 65) dtype=float32>, <tf.Tensor 'unstack:1' shape=(200, 65) dtype=float32>, <tf.Tensor 'unstack:2' shape=(200, 65) dtype=float32>, <tf.Tensor 'unstack:3' shape=(200, 65) dtype=float32>, <tf.Tensor 'unstack:4' shape=(200, 65) dtype=float32>]
```

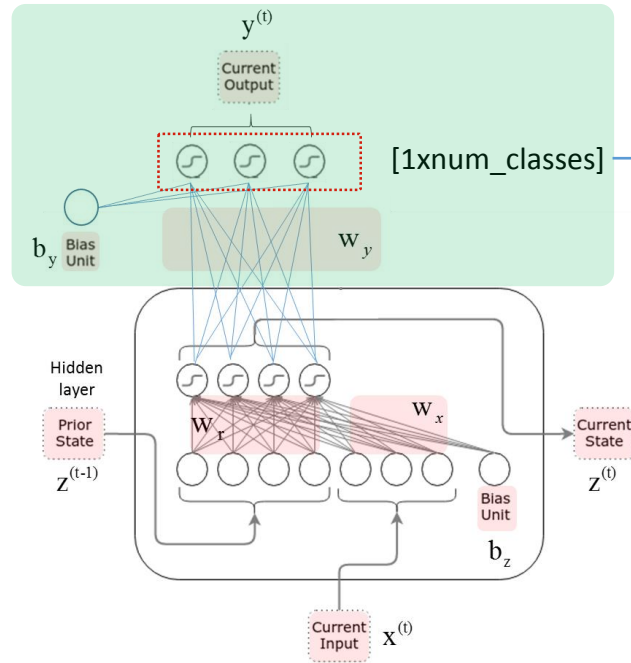
```
[<tf.Tensor 'rnn/rnn/basic_rnn_cell/Tanh:0' shape=(200, 100) dtype=float32>, <tf.Tensor 'rnn/rnn/basic_rnn_cell/Tanh_1:0' shape=(200, 100) dtype=float32>, <tf.Tensor 'rnn/rnn/basic_rnn_cell/Tanh_2:0' shape=(200, 100) dtype=float32>, <tf.Tensor 'rnn/rnn/basic_rnn_cell/Tanh_3:0' shape=(200, 100) dtype=float32>, <tf.Tensor 'rnn/rnn/basic_rnn_cell/Tanh_4:0' shape=(200, 100) dtype=float32>]
```



- ❑ rnn_inputs [batch_size][num_classes] x [num_steps]
- ❑ rnn_outputs [batch_size][state_size] x [num_steps]

200 65 5
200 100 5

2) RNN model: after cell



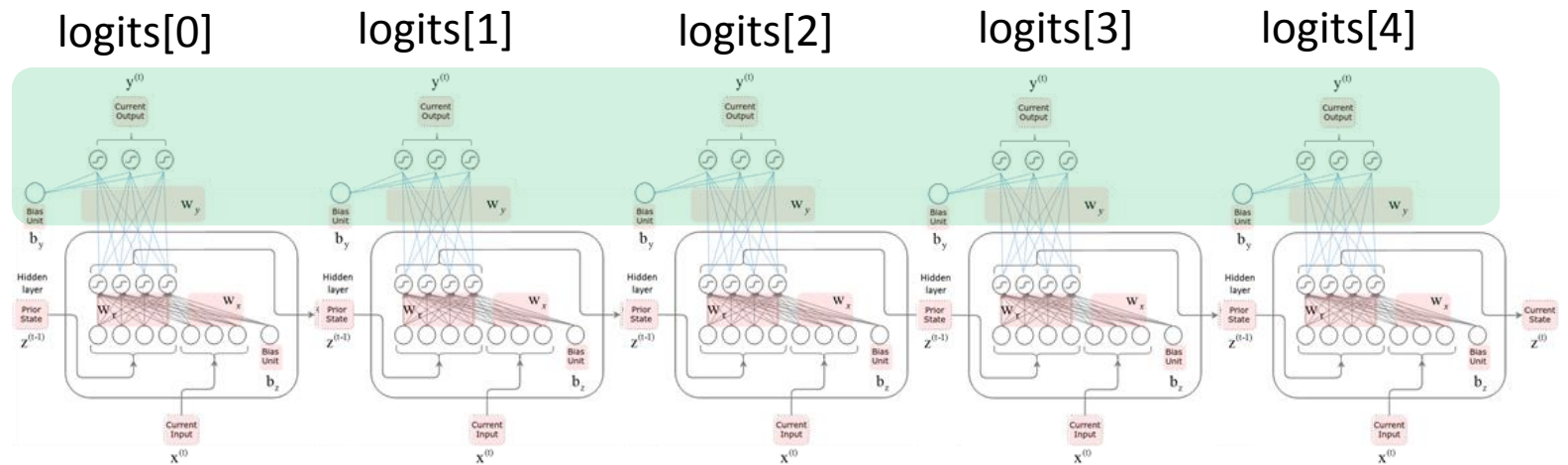
logits[]
e.g., [0.1, 0.3, ..., 0.1]

num_classes (65)

```
#logits and predictions
W2 = tf.get_variable('W2', [state_size, num_classes])
b2 = tf.get_variable('b2', [num_classes], initializer=tf.constant_initializer(0.0))

logits = [tf.matmul(rnn_output, W2) + b2 for rnn_output in rnn_outputs]
```

logits[0] = [batch_size] [num_classes]
= [200] [65]



Evaluation

3) Evaluation: loss calculation

```
# Turn our y placeholder into a list of labels
y_as_list = tf.unstack(label_data, num=num_steps, axis=1)

# losses and train_step
losses = [tf.nn.sparse_softmax_cross_entropy_with_logits(labels=label, logits=logit) \
          for logit, label in zip(logits, y_as_list)]
total_loss = tf.reduce_mean(losses)

# accuracy
correct_prediction = [tf.equal(tf.argmax(logit, 1), label) \
                      for logit, label in zip(logits, y_as_list)]
accuracy = tf.reduce_mean(tf.cast(correct_prediction, tf.float32), name='accuracy')

# Training
train_step = tf.train.AdagradOptimizer(learning_rate).minimize(total_loss)
```

label_data

	y_as_list[0]	y_as_list[1]	y_as_list[2]	y_as_list[3]	y_as_list[4]
batch_size	9	34	12	29	2
	34	12	29	2	32
	12	29	2	32	7

num_step

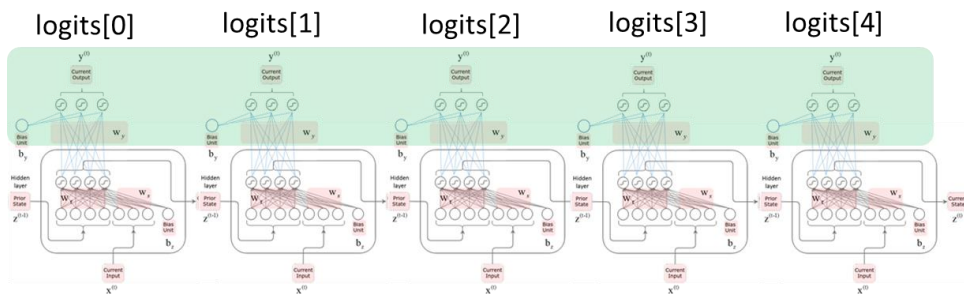
3) Evaluation: loss calculation

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accuracy = tf.reduce_mean(tf.cast(correct_prediction, tf.float32), name='accuracy')

# Training
train_step = tf.train.AdagradOptimizer(learning_rate).minimize(total_loss)
```



logits[0] = [batch_size] [num_classes]
= [200] [65]

label_data

	y_as_list[0]	y_as_list[1]	y_as_list[2]	y_as_list[3]	y_as_list[4]
batch_size	9	34	12	29	2
	34	12	29	2	32
	12	29	2	32	7

num_step					

y_as_list[0] = [batch_size] [num_classes]
= [200] [65]

3) Evaluation: loss calculation

```
# Turn our y placeholder into a list of labels
y_as_list = tf.unstack(label_data, num=num_steps, axis=1)

# losses and train_step
losses = [tf.nn.sparse_softmax_cross_entropy_with_logits(labels=label, logits=logit) \
          for logit, label in zip(logits, y_as_list)]
total_loss = tf.reduce_mean(losses)

# accuracy
correct_prediction = [tf.equal(tf.argmax(logit, 1), label) \
                      for logit, label in zip(logits, y_as_list)]
accuracy = tf.reduce_mean(tf.cast(correct_prediction, tf.float32), name='accuracy')

# Training
train_step = tf.train.AdagradOptimizer(learning_rate).minimize(total_loss)
```

□ `tf.nn.softmax_cross_entropy_with_logits(labels, logits)`

- logits: predicted label which is the output from the previous layer
 - e.g., [0.1, 0.4, 0.5]
- labels: true label, one-hot encoded
 - e.g., [0, 0, 1]

batch1	logit	Label	Cross Entropy (error)	batch2	logit	Label	Cross Entropy(error)
Data1-1	0.1, 0.2, 0.7	0, 0, 1	$-\ln(0.1)*0 - \ln(0.2)*0 - \ln(0.7)*1 = 0.357$	Data2-1	0.3, 0.3, 0.4	0, 0, 1	$-\ln(0.3)*0 - \ln(0.3)*0 - \ln(0.4)*1 = 0.916$
Data1-2	0.1, 0.6, 0.3	0, 1, 0	$-\ln(0.1)*0 - \ln(0.6)*1 - \ln(0.3)*0 = 0.511$	Data2-2	0.3, 0.4, 0.3	0, 1, 0	$-\ln(0.3)*0 - \ln(0.4)*1 - \ln(0.3)*0 = 0.916$
Data1-3	0.3, 0.3, 0.4	1, 0, 0	$-\ln(0.3)*1 - \ln(0.3)*0 - \ln(0.4)*0 = 1.204$	Data2-3	0.1, 0.1, 0.8	1, 0, 0	$-\ln(0.1)*1 - \ln(0.1)*0 - \ln(0.8)*0 = 2.303$
Mean			0.691	Mean			1.287

3) Evaluation: accuracy calculation

```
# Turn our y placeholder into a list of labels
y_as_list = tf.unstack(label_data, num=num_steps, axis=1)

# losses and train_step
losses = [tf.nn.sparse_softmax_cross_entropy_with_logits(labels=label, logits=logit) \
          for logit, label in zip(logits, y_as_list)]
total_loss = tf.reduce_mean(losses)

# accuracy
correct_prediction = [tf.equal(tf.argmax(logit, 1), label) \
                      for logit, label in zip(logits, y_as_list)]
accuracy = tf.reduce_mean(tf.cast(correct_prediction, tf.float32), name='accuracy')

# Training
train_step = tf.train.AdagradOptimizer(learning_rate).minimize(total_loss)
```

❑ `tf.argmax(tensor, 1)`: return index of the item which has the max value

❑ `tf.equal(x, y)`: return true if `x==y` otherwise false

	logit	label	$x=\text{tf.argmax}(\text{logit})$	$y=\text{tf.argmax}(\text{label})$	$\text{tf.cast}(\text{tf.equal}(x,y))$
Data1-1	0.1, 0.2, 0.7	0, 0, 1	2	2	<code>1=tf.cast(True)</code>
Data1-2	0.1, 0.6, 0.3	0, 1, 0	1	1	<code>1=tf.cast(True)</code>
Data1-3	0.3, 0.3, 0.4	1, 0, 0	2	0	<code>0=tf.cast(False)</code>
Mean					2/3

Static & Dynamic RNN

Static & Dynamic RNN

Static RNN

```
cell = tf.contrib.rnn.BasicRNNCell(state_size)
```

```
rnn_outputs, final_state = tf.nn.static_rnn(cell,  
                                           rnn_inputs, init_state)
```

- ❑ `rnn_inputs [batch_size][num_classes] x [num_steps]`
- ❑ `rnn_outputs [batch_size][state_size] x [num_steps]`

- Creating an unrolled graph for a fixed RNN
- Slow graph creation
- Fast execution

Dynamic RNN

```
cell = tf.contrib.rnn.BasicRNNCell(state_size)
```

```
rnn_outputs, final_state = tf.nn.dynamic_rnn(cell,  
                                           rnn_inputs, init_state)
```

- ❑ `rnn_inputs [batch_size x num_steps x num_classes]`
- ❑ `rnn_outputs [batch_size x num_steps x state_size]`

- Dynamically construct a graph
- Faster graph creation
- Slow execution

Static & Dynamic RNN

Static RNN

```
cell = tf.contrib.rnn.BasicRNNCell(state_size)
```

```
rnn_outputs, final_state = tf.nn.static_rnn(cell,  
                                           rnn_inputs, init_state)
```

- ❑ `rnn_inputs [batch_size][num_classes] x [num_steps]`
- ❑ `rnn_outputs [batch_size][state_size] x [num_steps]`

```
# Creating RNN Cell  
cell = tf.contrib.rnn.BasicRNNCell(state_size)  
rnn_outputs, final_state = tf.nn.static_rnn(cell, rnn_inputs, init_state)  
  
print(rnn_inputs)
```

```
[<tf.Tensor 'unstack:0' shape=(200, 65) dtype=float32>, <tf.Tensor 'unstack:1' shape=(200,  
65) dtype=float32>, <tf.Tensor 'unstack:2' shape=(200, 65) dtype=float32>, <tf.Tensor 'uns  
tack:3' shape=(200, 65) dtype=float32>, <tf.Tensor 'unstack:4' shape=(200, 65) dtype=float3  
2>, <tf.Tensor 'unstack:5' shape=(200, 65) dtype=float32>, <tf.Tensor 'unstack:6' shape=(20  
0, 65) dtype=float32>, <tf.Tensor 'unstack:7' shape=(200, 65) dtype=float32>, <tf.Tensor 'u  
nstack:8' shape=(200, 65) dtype=float32>, <tf.Tensor 'unstack:9' shape=(200, 65) dtype=floa  
t32>]
```

A list of a tensor

Dynamic RNN

```
cell = tf.contrib.rnn.BasicRNNCell(state_size)
```

```
rnn_outputs, final_state = tf.nn.dynamic_rnn(cell,  
                                           rnn_inputs, init_state)
```

- ❑ `rnn_inputs [batch_size x num_steps x num_classes]`
- ❑ `rnn_outputs [batch_size x num_steps x state_size]`

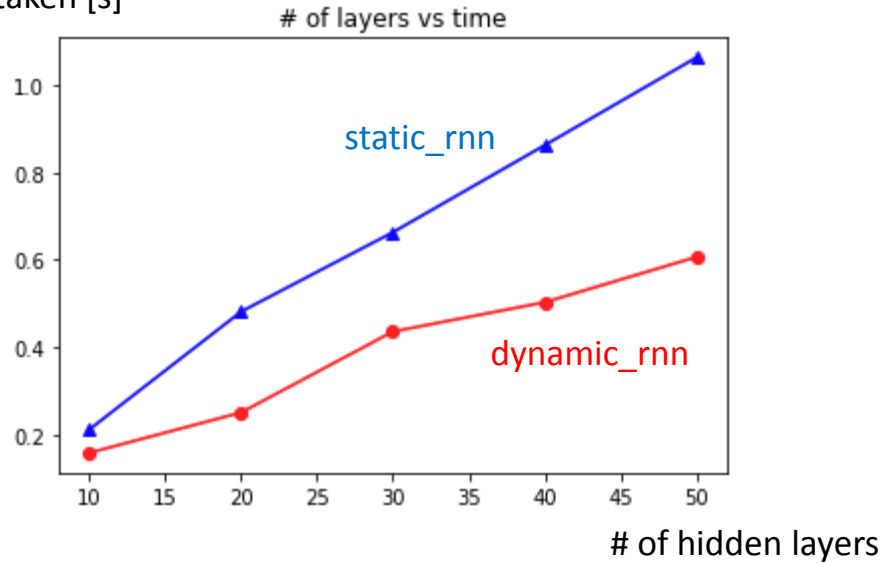
```
# Creating RNN Cell  
cell = tf.contrib.rnn.BasicRNNCell(state_size)  
rnn_outputs, final_state = tf.nn.dynamic_rnn(cell, rnn_inputs, init_state)  
  
print(rnn_inputs)
```

```
Tensor("one_hot:0", shape=(200, 10, 65), dtype=float32)
```

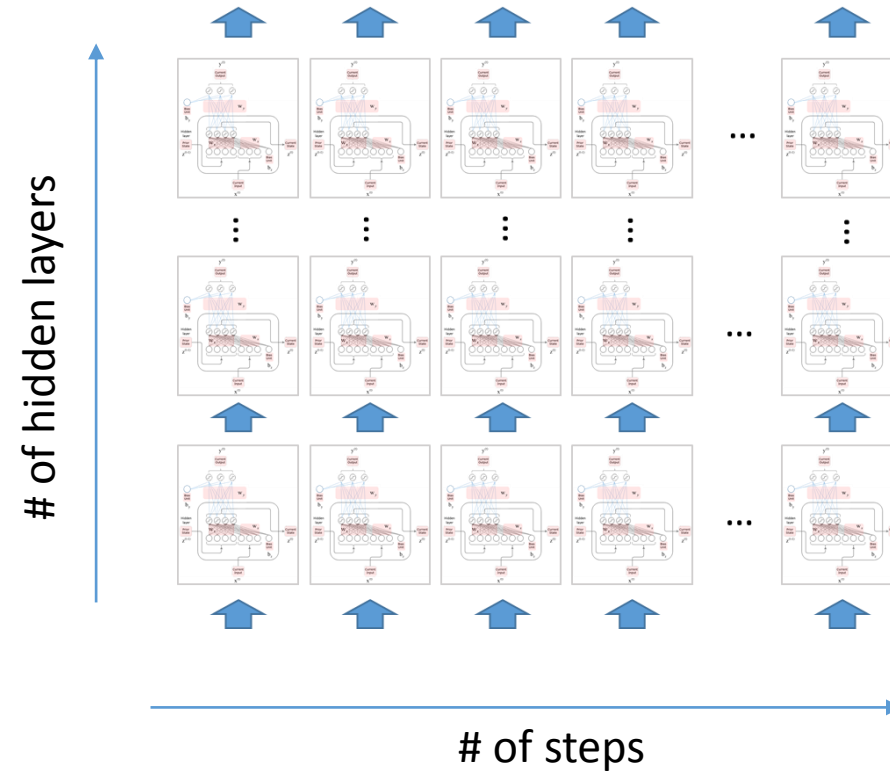
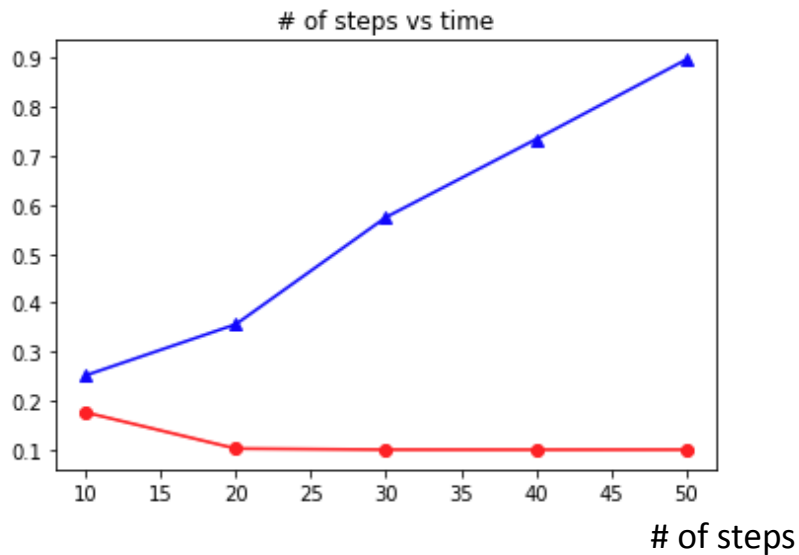
a tensor

Static & Dynamic RNN: testing?

time taken [s]



time taken [s]



- # of hidden layers: 30
- # of steps: 10

	static_rnn	dynamic_rnn
1 epoch	9.04	10.70

LSTM and GRU

How about LSTM & GRU?

❑ Just creating a different cell and the rest of them is same.

```
# RNN model from Tensorflow
input_data = tf.placeholder(tf.int64, [batch_size, num_steps], name='input_data')
label_data = tf.placeholder(tf.int64, [batch_size, num_steps], name='label_data')

embedding = tf.get_variable('embedding', [num_classes, state_size])
rnn_inputs = tf.nn.embedding_lookup(embedding, input_data)

cell = tf.nn.rnn_cell.BasicRNNCell(state_size)
cell = tf.nn.rnn_cell.MultiRNNCell([cell] * num_layers, state_is_tuple=True)
init_state = cell.zero_state(batch_size, tf.float32)
rnn_outputs, final_state = tf.nn.dynamic_rnn(cell, rnn_inputs, initial_state=init_state)

# logits and predictions
W2 = tf.get_variable('W2', [state_size, num_classes])
b2 = tf.get_variable('b2', [num_classes], initializer=tf.constant_initializer(0.0))

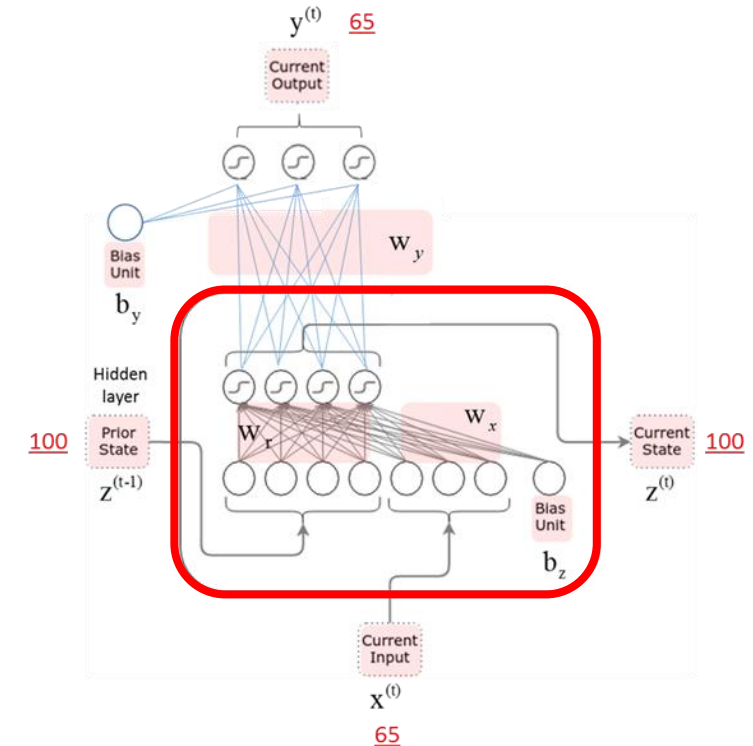
logits = tf.reshape(tf.matmul(tf.reshape(rnn_outputs, [-1, state_size]), W2) \
                    + b2, [batch_size, num_steps, num_classes])
predictions = tf.nn.softmax(logits)

# loss calculation
total_loss = tf.reduce_mean(tf.nn.sparse_softmax_cross_entropy_with_logits(logits=logits, \
                                                                            labels=label_data))

# accuracy
correct_prediction = [tf.equal(tf.argmax(logits, 2), label_data)]
accuracy = tf.reduce_mean(tf.cast(correct_prediction, tf.float32), name='accuracy')

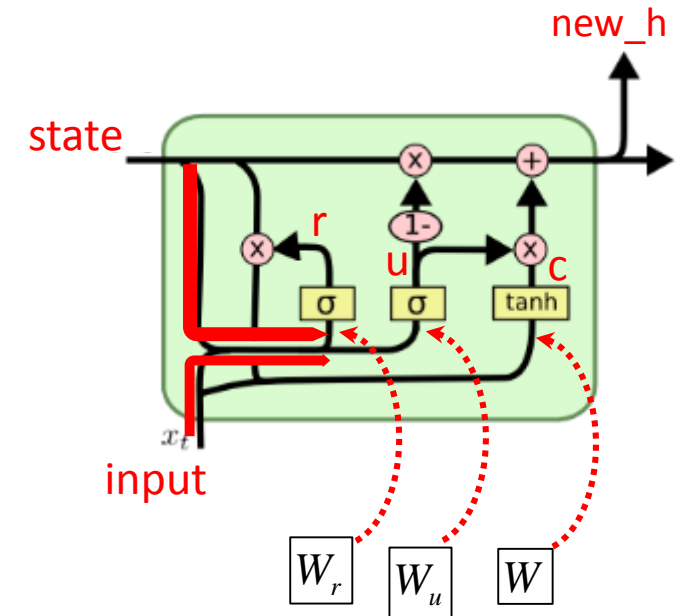
# Training
train_step = tf.train.AdamOptimizer(learning_rate).minimize(total_loss)
```

	Types of RNN cells
RNN	cell = tf.nn.rnn_cell.BasicRNNCell(num_states)
LSTM	cell = tf.nn.rnn_cell.LSTMCell(num_states)
GRU	cell = tf.nn.rnn_cell.GRUCell(num_states)



How about a customized Cell ... e.g., GRUCell from RNNCell

```
class GRUCell(tf.nn.rnn_cell.RNNCell):  
  
    def __init__(self, num_units):  
        self._num_units = num_units  
  
    @property  
    def state_size(self):  
        return self._num_units  
  
    @property  
    def output_size(self):  
        return self._num_units  
  
    def __call__(self, inputs, state, scope=None):  
        with tf.variable_scope(scope or type(self).__name__):  
            with tf.variable_scope("Gates"):  
                ru = rnn_cell_impl.linear([inputs, state],  
                                          2 * self._num_units, True, tf.constant_initializer(1.0))  
                ru = tf.nn.sigmoid(ru)  
                r, u = tf.split(ru, 2, 1)  
            with tf.variable_scope("Candidate"):  
                c = tf.nn.tanh(rnn_cell_impl.linear([inputs, r * state],  
                                                    self._num_units, True))  
            new_h = u * state + (1 - u) * c  
            return new_h, new_h
```



$$u = \sigma(W_u \cdot [state, input])$$

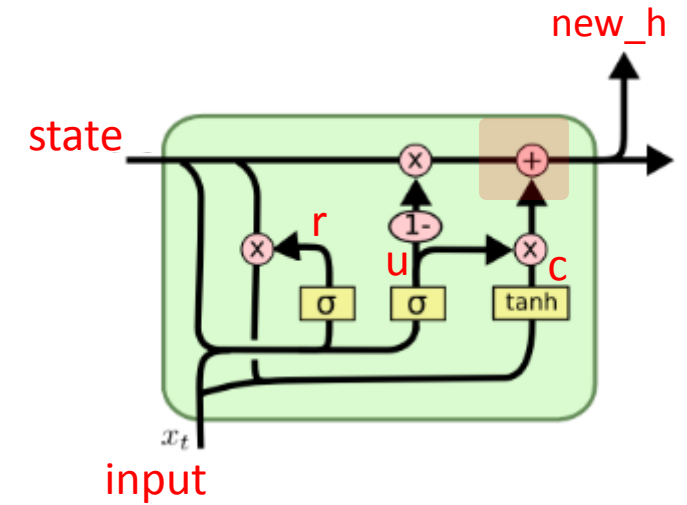
$$r = \sigma(W_r \cdot [state, input])$$

$$c = \tanh(W \cdot [r * state, input])$$

$$new_h = (1 - u) * state + u * c$$

How about a customized Cell ... e.g., GRUCell from RNNCell

```
class GRUCell(tf.nn.rnn_cell.RNNCell):  
  
    def __init__(self, num_units):  
        self._num_units = num_units  
  
    @property  
    def state_size(self):  
        return self._num_units  
  
    @property  
    def output_size(self):  
        return self._num_units  
  
    def __call__(self, inputs, state, scope=None):  
        with tf.variable_scope(scope or type(self).__name__):  
            with tf.variable_scope("Gates"):  
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                                           2 * self._num_units, True, tf.constant_initializer(1.0))  
                ru = tf.nn.sigmoid(ru)  
                r, u = tf.split(ru, 2, 1)  
            with tf.variable_scope("Candidate"):  
                c = tf.nn.tanh(rnn_cell_impl.linear([inputs, r * state],  
                                                    self._num_units, True))  
            new_h = u * state + (1 - u) * c  
        return new_h, new_h
```



$$u = \sigma(W_u \cdot [state, input])$$

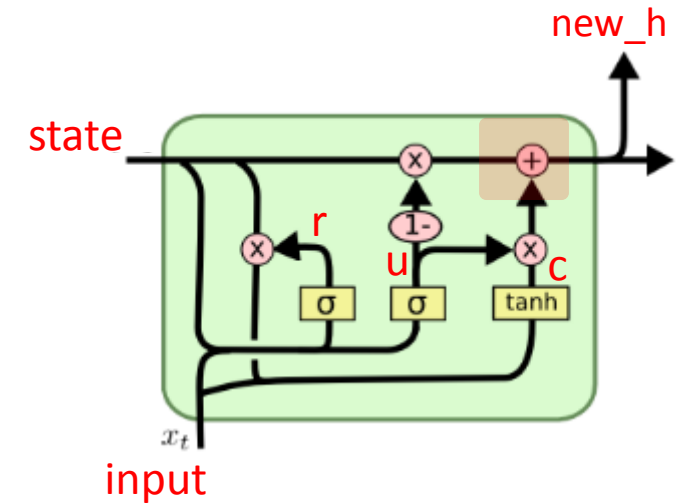
$$r = \sigma(W_r \cdot [state, input])$$

$$c = \tanh(W \cdot [r * state, input])$$

$$new_h = (1 - u) * state + u * c$$

How about a customized Cell ... e.g., GRUCell from RNNCell

```
class GRUCell(tf.nn.rnn_cell.RNNCell):  
  
    def __init__(self, num_units):  
        self._num_units = num_units  
  
    @property  
    def state_size(self):  
        return self._num_units  
  
    @property  
    def output_size(self):  
        return self._num_units  
  
    def __call__(self, inputs, state, scope=None):  
        with tf.variable_scope(scope or type(self).__name__):  
            with tf.variable_scope("Gates"):  
                ru = rnn_cell_impl.linear([inputs, state],  
                                          2 * self._num_units, True, tf.constant_initializer(1.0))  
                ru = tf.nn.sigmoid(ru)  
                r, u = tf.split(ru, 2, 1)  
            with tf.variable_scope("Candidate"):  
                c = tf.nn.tanh(rnn_cell_impl.linear([inputs, r * state],  
                                                    self._num_units, True))  
  
            new_h = u * state + (1 - u) * c  
            return new_h, new_h
```



$$u = \sigma(W_u \cdot [state, input])$$

$$r = \sigma(W_r \cdot [state, input])$$

$$c = \tanh(W \cdot [r * state, input])$$

$$new_h = (1 - u) * state + u * c$$

Where are “Weights” defined?

`_linear()` in `core_rnn_cell_impl.py`

Dropouts in RNN

Dropouts which layers and how?

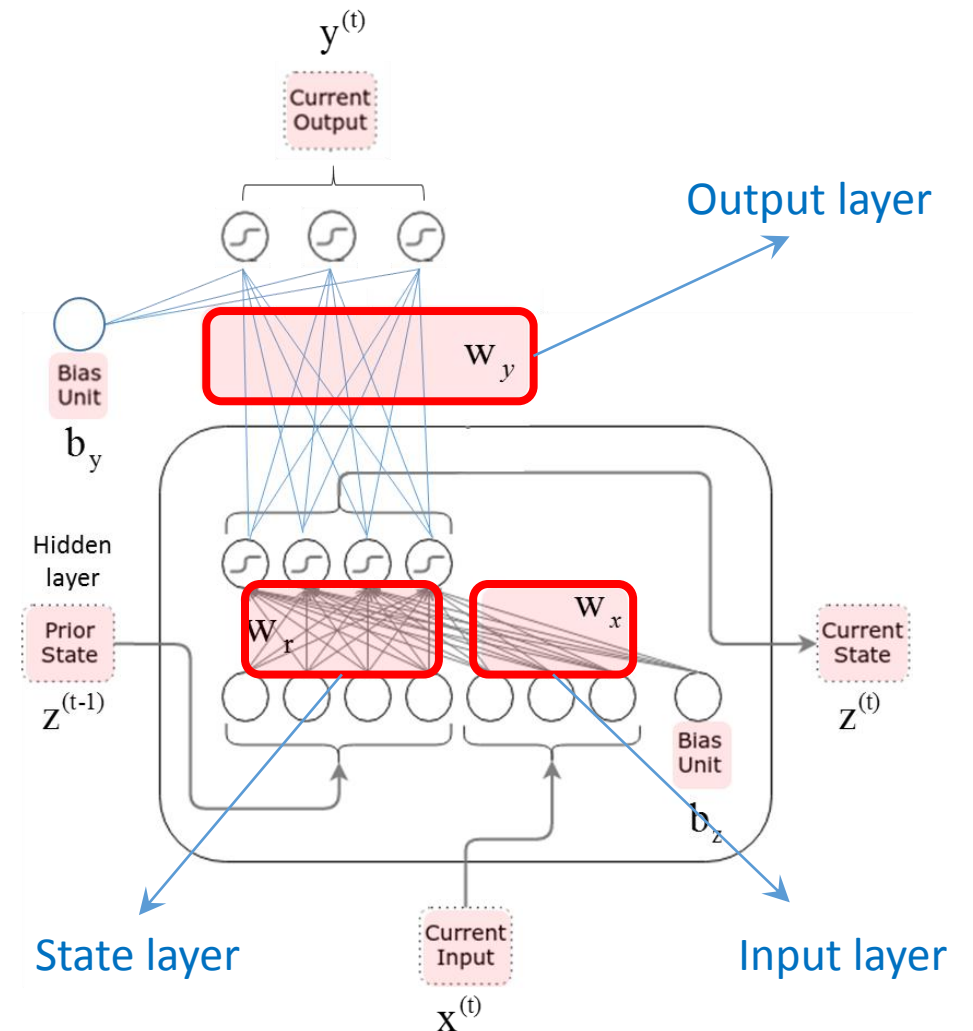
- ❑ Dropouts have been used to prevent overfitting in various neural networks.
- ❑ How to dropout links in each layer of RNN?
 - Input layer
 - Output layer
 - State layer

```
cell = tf.nn.rnn_cell.BasicRNNCell(state_size)

# Dropout
cell = tf.nn.rnn_cell.DropoutWrapper(cell)

rnn_outputs, final_state = tf.nn.dynamic_rnn(cell, rnn_inputs,
```

```
__init__(
    cell,
    input_keep_prob=1.0,
    output_keep_prob=1.0,
    state_keep_prob=1.0,
    variational_recurrent=False,
    input_size=None,
    dtype=None,
    seed=None,
    dropout_state_filter_visitor=None
)
```

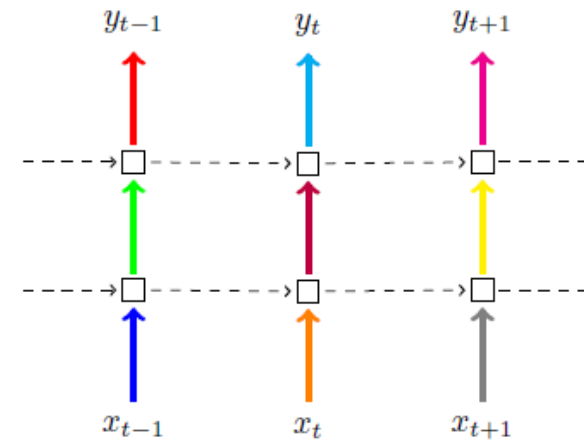


Dropouts which layers and how?

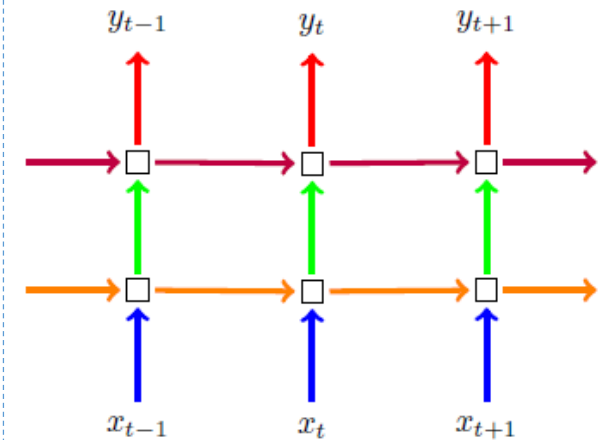
- ❑ Dropouts have been used to prevent overfitting in various neural networks.
- ❑ How to dropout links in each layer of RNN?
 - Input layer
 - Output layer
 - State layer

```
cell = tf.nn.rnn_cell.BasicRNNCell(state_size)
# Dropout
cell = tf.nn.rnn_cell.DropoutWrapper(cell)
rnn_outputs, final_state = tf.nn.dynamic_rnn(cell, rnn_inputs,
```

```
__init__(
    cell,
    input_keep_prob=1.0,
    output_keep_prob=1.0,
    state_keep_prob=1.0,
    variational_recurrent=False,
    input_size=None,
    dtype=None,
    seed=None,
    dropout_state_filter_visitor=None
)
```



(a) Naive dropout RNN



(b) Variational RNN

- `variational_recurrent = False`
- Different dropout mask at each time step

- `variational_recurrent = True`
- Same dropout mask at each time step

Backup Slides

Static & Dynamic RNN

static_rnn

```
# RNN model from Tensorflow
input_data = tf.placeholder(tf.int64, [batch_size, num_steps], name='input_data')
label_data = tf.placeholder(tf.int64, [batch_size, num_steps], name='label_data')
#init_state = tf.zeros([batch_size, state_size])

embedding = tf.get_variable('embedding', [num_classes, state_size])
#rnn_inputs = tf.nn.embedding_lookup(embedding, input_data)
rnn_inputs = [tf.squeeze(i) for i in tf.split(tf.nn.embedding_lookup(embedding, input_data),
                                             num_steps, 1)]

cell = tf.nn.rnn_cell.BasicRNNCell(state_size)
cell = tf.nn.rnn_cell.MultiRNNCell([cell] * num_layers, state_is_tuple=True)
init_state = cell.zero_state(batch_size, tf.float32)
rnn_outputs, final_state = tf.nn.static_rnn(cell, rnn_inputs, initial_state=init_state)

# logits and predictions
W2 = tf.get_variable('W2', [state_size, num_classes])
b2 = tf.get_variable('b2', [num_classes], initializer=tf.constant_initializer(0.0))

logits = [tf.matmul(rnn_output, W2) + b2 for rnn_output in rnn_outputs]

y_as_list = [tf.squeeze(i, squeeze_dims=[1]) for i in tf.split(label_data, num_steps, 1)]

loss_weights = [tf.ones([batch_size]) for i in range(num_steps)]
losses = tf.contrib.layers.sequence_loss(logits, y_as_list, loss_weights)
total_loss = tf.reduce_mean(losses)

# accuracy
correct_prediction = [tf.equal(tf.argmax(logit, 1), label) \
                      for logit, label in zip(logits, y_as_list)]
accuracy = tf.reduce_mean(tf.cast(correct_prediction, tf.float32), name='accuracy')

# Training
train_step = tf.train.AdamOptimizer(learning_rate).minimize(total_loss)
```

dynamic_rnn

```
# RNN model from Tensorflow
input_data = tf.placeholder(tf.int64, [batch_size, num_steps], name='input_data')
label_data = tf.placeholder(tf.int64, [batch_size, num_steps], name='label_data')

embedding = tf.get_variable('embedding', [num_classes, state_size])
rnn_inputs = tf.nn.embedding_lookup(embedding, input_data)

cell = tf.nn.rnn_cell.BasicRNNCell(state_size)
cell = tf.nn.rnn_cell.MultiRNNCell([cell] * num_layers, state_is_tuple=True)
init_state = cell.zero_state(batch_size, tf.float32)
rnn_outputs, final_state = tf.nn.dynamic_rnn(cell, rnn_inputs, initial_state=init_state)

# logits and predictions
W2 = tf.get_variable('W2', [state_size, num_classes])
b2 = tf.get_variable('b2', [num_classes], initializer=tf.constant_initializer(0.0))

logits = tf.reshape(tf.matmul(tf.reshape(rnn_outputs, [-1, state_size]), W2) \
                    + b2, [batch_size, num_steps, num_classes])
predictions = tf.nn.softmax(logits)

# loss calculation
total_loss = tf.reduce_mean(tf.nn.sparse_softmax_cross_entropy_with_logits(logits=logits, \
                                                                              labels=label_data))

# accuracy
correct_prediction = [tf.equal(tf.argmax(logit, 2), label_data)]
accuracy = tf.reduce_mean(tf.cast(correct_prediction, tf.float32), name='accuracy')

# Training
train_step = tf.train.AdamOptimizer(learning_rate).minimize(total_loss)
```